

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re divisional patent application of 09/951,778 filed on September 14, 2001

Mark S. Zediker et al.

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: TAILORED INDEX SINGLE MODE OPTICAL AMPLIFIERS AND DEVICES AND
SYSTEMS INCLUDING SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. All of these references were either cited or submitted in parent Application No. 09/951,778 and thus copies of these references are not provided in accordance with 37 C.F.R. §1.98(d).

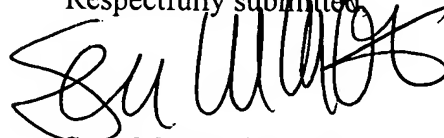
This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Rule 53(b) Divisional of Application No. 09/951,778
filed September 14, 2001

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's
Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Sean McGinn', written over the typed name.

Sean M. McGinn, Esq.
Registration No. 34,386

Date: _____

12/17/03
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Customer No. 21254

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

ATTY DOCKET NO.
NUV.006DIV

SERIAL NO.
Not Yet Assigned

APPLICANT(S)
Zediker et al.

FILING DATE
Concurrently Herewith

GROUP
Not Yet Assigned

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----------------|---------|--------------|-------|----------|-------------------------------|
| | 5,694,408 | 12/1997 | Bott et al. | | | |
| | 5,717,516 | 02/1998 | Klein et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | | Zediker, M.S. et al., "10-amplifier Coherent Array Based on Active Integrated Optics," O.E. LASE Conference (sponsored by SPIE), Los Angeles, CA (Jan. 1990), Paper No. 1219-21. |
| | | Zediker, M.S., et al., "Design Optimization of a 10 Amplifier Coherent Array," O.E. Laser Conference (sponsored by SPIE), Los Angeles, CA (Jan. 1991), Paper No. 1418-34. |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| | 6,124,663 | 09/2000 | Haake et al. | | | |
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| | | | Kun H. No et al., "Monolithic Integration of an Amplifier and a Phase Modulator Fabricated in a GRINSCH-SOW Structure by Placing the Junction Below the Quantum Well," IEEE Photonics Technology Letters, Vol. 5, No. 9, pp. 990-993, Sept., 1993. |
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